

PATENT
Docket No. 220032001301

SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 11, 2002 as United States Application Serial No. 10/074,745.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

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Application Serial No.	Filing Date	Status
08/327,513	October 18, 1994	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
09/127,195	July 31, 1998	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date _____ Name: Peter G. Schultz
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
 Citizenship: United States of America
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date _____ Name: Xiao-Dong Xiang
 Residence: 1036 McCauley Road, Danville, California 94526-1970
 Citizenship: United States of America
 Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

5/1/03
 Date _____ Name: Isy Goldwasser
 Residence: 580 Arastradero Road #505, Palo Alto, California 94306
 Citizenship: United States of America
 Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date _____ Name: Gabriel Briceno
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
 Citizenship: United States of America
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date _____ Name: Xiao-Dong Sun
 Residence: 33288 Jamie Circle, Fremont, California 94555
 Citizenship: People's Republic of China
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date _____ Name: Kai-An WANG
 Residence: 1082 West Hill Court Cupertino, California 95014
 Citizenship: United States of America
 Post Office Address: 1082 West Hill Court Cupertino, California 95014

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Date: Name: Peter C. Schultz
Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
Citizenship: United States of America
Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date: Name: Xiao-Dong Xiang
Residence: 1036 McCauley Road, Danville, California 94526-1970
Citizenship: United States of America
Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date: Name: Isy Goldwasser
Residence: 580 Arastradero Road #505, Palo Alto, California 94306
Citizenship: United States of America
Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

4/30/2003 Date: Name: Gabriel Ariceno
Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
Citizenship: United States of America
Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date: Name: Xiao-Dong Sun
Residence: 33288 Jamie Circle, Fremont, California 94555
Citizenship: People's Republic of China
Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date: Name: Kai-An WANG
Residence: 1082 West Hill Court Cupertino, California 95014
Citizenship: United States of America
Post Office Address: 1082 West Hill Court Cupertino, California 95014

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Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(c) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

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02-721871

Application Serial No.	Filing Date	Status
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09/127,195	July 31, 1998	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

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Date: Name: Peter G. Schultz
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
 Citizenship: United States of America
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date: Name: Xiao-Dong Xiang
 Residence: 1036 McCauley Road, Danville, California 94526-1970
 Citizenship: United States of America
 Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date: Name: Isy Goldwasser
 Residence: 580 Arastradero Road #505, Palo Alto, California 94306
 Citizenship: United States of America
 Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date: Name: Gabriel Briceño
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
 Citizenship: United States of America
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date: Name: Xiao-Dong Sun
 Residence: 33288 Jamie Circle, Fremont, California 94555
 Citizenship: People's Republic of China
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

4-18-2003
 Date: Name: Kai-An WANG
 Residence: 1082 West Hill Court Cupertino, California 95014
 Citizenship: United States of America
 Post Office Address: 1082 West Hill Court Cupertino, California 95014

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pa-721871

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4-18-03
Date

Name: Peter L. Schultz
Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
Citizenship: United States of America
Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date

Name: Xian-Dong Xiang
Residence: 1036 McCauley Road, Danville, California 94526-1970
Citizenship: United States of America
Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date

Name: Isy Goldwasser
Residence: 580 Arastradero Road #505, Palo Alto, California 94306
Citizenship: United States of America
Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date

Name: Gabriel Briceno
Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
Citizenship: United States of America
Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date

Name: Xiao-Dong Sun
Residence: 33288 Jamie Circle, Fremont, California 94555
Citizenship: People's Republic of China
Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date

Name: Kai-An WANG
Residence: 1082 West Hill Court Cupertino, California 95014
Citizenship: United States of America
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Date _____ Name: Peter G. Schultz
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
 Citizenship: United States of America
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

4-1-7/03
 Date _____ Name: Xiao-Dong Xiang
 Residence: 1036 McCauley Road, Danville, California 94526-1970
 Citizenship: United States of America
 Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date _____ Name: Isy Goldwasser
 Residence: 580 Arastradero Road #305, Palo Alto, California 94306
 Citizenship: United States of America
 Post Office Address: 580 Arastradero Road #305, Palo Alto, California 94306

Date _____ Name: Gabriel Dricco
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
 Citizenship: United States of America
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date _____ Name: Xiao-Dong Sun
 Residence: 33288 Jamie Circle, Fremont, California 94555
 Citizenship: People's Republic of China
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date _____ Name: Kai-An WANG
 Residence: 1082 West Hill Court Cupertino, California 95014
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Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037
Citizenship: United States of America
Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date: Name: Xiao-Dong Xiang
Residence: 1036 McCauley Road, Danville, California 94526-1970
Citizenship: United States of America
Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date: Name: Izy Goldwasser
Residence: 580 Arastradero Road #505, Palo Alto, California 94306
Citizenship: United States of America
Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date: Name: Gabriel Briceno
Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706
Citizenship: United States of America
Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

5/16/03
Date: Name: Xiao-Dong Sun
Residence: 33288 Jamie Circle, Fremont, California 94555
Citizenship: People's Republic of China
Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date: Name: Kai-An WANG
Residence: 1082 West Hill Court Cupertino, California 95014
Citizenship: United States of America
Post Office Address: 1082 West Hill Court Cupertino, California 95014

PATENT
Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY XIAO-DONG SUN IN SUPPORT OF
REQUEST TO CORRECT INVENTORSHIP
PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I, Xiao-Dong Sun, declare as follows:

- 1) I reside at 33288 Jamic Circle, Fremont, California 94555;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721858

that such willful false statements may jeopardize the validity of the application or any patent
issuing thereon.

Xiao-Dong Sun
Xiao-Dong Sun

Date: 10/27/02

Signed at location: Fremont, CA

PATENT
Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY GABRIEL BRICENO IN SUPPORT OF
REQUEST TO CORRECT INVENTORSHIP
PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

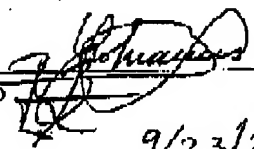
I, Gabriel Briceno, declare as follows:

- 1) I reside at 13504 Rexwood Ave., Baldwin Park, California 91706;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721859

that such willful false statements may jeopardize the validity of the application or any patent
issuing thereon.



(Gabriel Briceno)

Date:

9/23/2002

Signed at location:

Office of Blakely, Sokoloff, Taylor & Zelman

PATENT
Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY KAI-AN WANG IN SUPPORT OF
REQUEST TO CORRECT INVENTORSHIP
PURSUANT TO 37 C.F.R. § 1.48(a)(1)

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I, Kai-An Wang, declare as follows:

- 1) I reside at 1082 West Hill Court Cupertino, California 95014;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721859

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Kai-An Wang

Date:

4-18-2003

Signed at location:

Cupertino, CA

10416.105013

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Applicant: Peter G. Schultz, et al.

Application No.: 10/074,745 Filed: February 11, 2002

For: Combinatorial Synthesis of Novel Materials

The Regents of the University of California, a corporation
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

and
Syntex Technologies, Inc., a corporation
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

certify that they are the assignees of the entire right, title and interest in the patent application identified above by virtue of either:

(X) A. An assignment from the inventor(s) of the patent application identified above. The assignments were recorded in the Patent and Trademark Office at Reel 7518, Frame 0522 and Reel 7518, Frame 0103, or for which a copy thereof is attached.

OR

() B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
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2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
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3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
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() Additional documents in the chain of title are listed on a supplemental sheet.

() Copies of assignments or other documents in the chain of title are attached.

The assignees have reviewed all the documents in the chain of title of the patent application identified above and, to the best of the assignees' knowledge and belief, title is in the assignees identified above.

The undersigned (whose titles are supplied below) are authorized to act on behalf of the assignees.

Date: 5-28-03

Date: _____

Name: David J. Aston

Name: _____

Title: Chief Patent Counsel

Title: _____

Signature: [Signature]

Signature: _____

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